

AMERICAN BOARD OF QUALITY ASSURANCE AND UTILIZATION REVIEW PHYSICIANS, INC.

Rules and Regulations for Diplomate Status and Use of Marks and Designations

The Board has delineated these Rules and Regulations for Diplomate Status and use of the related Marks and Designations for the organization's Certification Programs.

- I. Advanced Physician Advisor**
- II. Health Care Quality and Management and Sub-Specialties**
 - a. Case Management**
 - b. Managed Care**
 - c. Patient Safety / Risk Management**
 - d. Physician Advisor**
 - e. Transitions of Care**
 - f. Workers' Compensation**
- III. Termination of Diplomate Status**
- IV. Rules for Use of ABQAURP Marks and Designations**

I. Advanced Physician Advisor Certification and Diplomate Status

Certification as an Advanced Physician Advisor ("CPHYADV") represents the credibility of the physician advisor practicing in today's health systems, hospitals, and ancillary facilities. Eligible physicians who have successfully completed the application/credentialing process and the Advanced Physician Advisor Certification Examination become Diplomates of the American Board of Quality Assurance and Utilization Review Physicians ("ABQAURP"). Individuals who achieve and maintain status as a Diplomate (a "Diplomate") will be provided access to certain documents on the ABQAURP website located at www.abqaurp.org and agree to be bound by the Bylaws, Code of Ethics, and Rules and Regulations, as these documents may be revised from time to time. Diplomates are also provided access to their digital credentials on the Credly website.

Diplomate Status

To maintain status as a Diplomate with CPHYADV, ABQAURP's Board of Directors (the "Board") requires each Diplomate to complete twenty (20) hours of ABQAURP-approved continuing education courses ("CE") per two-year recertification cycle ("Recertification Cycle"), and to pay all dues and fees owed to ABQAURP as they become due.

Recertification

1) Continuing Education (CE) Recertification Requirements

The Recertification Cycle is two (2) years, with the Diplomate's initial Recertification Cycle beginning on October 1st after passing the examination and ending on September 30th of the second year. Each Diplomate is required to complete a minimum of 20 continuing education ("CE") hours.

ABQAURP automatically reviews all Diplomate records to determine whether a Diplomate has satisfied the CE requirements for a given Recertification Cycle. As a Diplomate accumulates CE hours, ABQAURP updates the Diplomate's CE record. Since the CE requirement is intended to keep a Diplomate current with emerging trends and technologies, excess hours cannot be applied to the next Recertification Cycle.

An updated Diplomate certificate will automatically be mailed within eight (8) weeks of the Diplomate completing the CE recertification requirements, provided all financial requirements have also been met.

Following are the CE recertification options:

Option 1:

Completion of a minimum of 20 credit hours of ABQAURP-sponsored CE through any combination of the following options:

- Attendance at any ABQAURP accredited CE conference.
- Completion of any ABQAURP accredited CE online course(s).
- Attendance at any ABQAURP accredited jointly provided program of 20 CE or more credit hours.
- Development of an approved CE course or seminar for ABQAURP. The Diplomate will be awarded applicable credits toward the recertification requirement.

Option 2:

Completion of a minimum of 20 credit hours of ABQAURP-approved, Physician Advisor-focused content through non-ABQAURP sponsored CE programs. A CE recertification application, which can be found on the ABQAURP website, must be submitted with certificates of attendance and the applicable fee.

Option 3:

In lieu of completing 20 hours of CE, a Diplomate may elect to retake the Advanced Physician Advisor Certification Examination every two (2) years.

2) Financial Recertification Requirements

Each Diplomate is required to remain current with all account balances. Through annual membership dues, Diplomates help support the research and development of educational programs and professional standards. Provided that a Diplomate has not defaulted on any ABQAURP Diplomate membership requirement, including those set forth herein, membership cards are automatically mailed upon payment of each year's annual dues. There is only one Diplomate annual dues requirement regardless of how many certifications you hold.

II. Health Care Quality and Management Certification and Diplomate Status

Certification in Health Care Quality and Management (“CHCQM[®]”) represents a significant professional achievement in the fields of Health Care Quality Management and Patient Safety. Eligible health care professionals who have successfully completed the application/credentialing process and the Health Care Quality and Management Certification Examination become Diplomates of the American Board of Quality Assurance and Utilization Review Physicians (“ABQAURP”). Individuals who achieve and maintain status as a Diplomate (a “Diplomate”) will be provided access to certain documents on the ABQAURP website located at www.abqaurp.org and agree to be bound by the Bylaws, Code of Ethics, and Rules and Regulations of ABQAURP Marks and Designations, as these documents may be revised from time to time. Diplomates are also provided access to their digital credentials on the Credly website.

Diplomate Status

To maintain status as a Diplomate with CHCQM, ABQAURP’s Board of Directors (the “Board”) requires each Diplomate to complete eight (8) hours of ABQAURP-approved continuing education courses (“CE”) per two-year recertification cycle (“Recertification Cycle”), and to pay all dues and fees owed to ABQAURP as they become due.

Recertification

1) Continuing Education (CE) Recertification Requirements

The Recertification Cycle is two (2) years, with the Diplomate’s initial Recertification Cycle beginning on October 1st after passing the examination and ending on September 30th of the second year. Each Diplomate is required to complete a minimum of eight (8) CE hours.

ABQAURP automatically reviews all Diplomate records to determine whether a Diplomate has satisfied the CE requirements for a given Recertification Cycle. As a Diplomate accumulates CE hours, ABQAURP updates the Diplomate’s CE record. Since the CE requirement is intended to keep a Diplomate current with emerging trends and technologies, excess hours cannot be applied to the next Recertification Cycle.

An updated Diplomate Certificate will automatically be mailed within eight (8) weeks of the Diplomate completing the CE recertification requirements, provided all financial requirements have also been met.

Following are the CE recertification options:

Option 1:

Completion of a minimum of eight (8) credit hours of ABQAURP-sponsored CE through any combination of the following options:

- Attendance at any ABQAURP accredited CE conference.
- Completion of any ABQAURP accredited CE online course(s).
- Attendance at any ABQAURP accredited jointly provided program of 8 CE or more credit hours.
- Development of an approved CE course or seminar for ABQAURP. The Diplomate will be awarded applicable credit hours toward the recertification requirement.

Option 2:

Completion of a minimum of eight (8) credit hours of ABQAURP-approved, HCQM-focused content through non-ABQAURP sponsored CE programs. A CE recertification application, which can be found on the ABQAURP website, must be submitted with certificates of attendance and the applicable fee.

Option 3:

In lieu of completing eight (8) hours of CE, a Diplomat may elect to retake the HCQM Certification Examination every two (2) years.

2) Financial Recertification Requirements

Each Diplomat is required to remain current with all account balances. Through annual membership dues, Diplomates help support the research and development of educational programs and professional standards. Provided that a Diplomat has not defaulted on any ABQAURP Diplomat membership requirement, including those set forth herein, membership cards are automatically mailed upon payment of each year's annual dues. There is only one Diplomat annual dues requirement regardless of how many certifications you hold.

III. Termination of Diplomat Status

Diplomat status may be terminated by the Board for any of the following reasons:

- Revocation of professional license; or
- Failure to fulfill the continuing education recertification requirement as determined by the Board in its sole discretion or as set forth in ABQAURP's Bylaws; or
- Falsification of application, conviction of a felony offense, or other adverse professional action detrimental to the organization as determined by the Board in its sole discretion; or
- Failure to pay any dues and fees owed to ABQAURP.

A Diplomat may voluntarily elect to terminate Diplomat status at any time by delivering written notice to the ABQAURP office.

Should a Diplomat choose to terminate his or her Diplomat status, or if his or her Diplomat status is terminated by the Board, such individual's name will be removed from ABQAURP's Diplomat Directory. Additionally, the former Diplomat will no longer receive Diplomat membership benefits. When verification of a Diplomat's board certification(s) is requested, ABQAURP must indicate that the Diplomat has not maintained his or her board certification(s), and, accordingly, that the individual is not a Diplomat.

A Diplomat must accurately state his or her board certification status at all times as more fully set forth in the rules for use of the ABQAURP Marks and Designations (as set forth herein). This includes descriptions in curriculum vitae, business cards, advertisements, publications, directories, and letterheads. Any Diplomat who has not maintained their certification, or has terminated their Diplomat status, may not claim Diplomat status, may not claim board certification, and must cease all use of the ABQAURP Marks and all logos relating to board certification, and must revise all descriptions of his or her qualifications accordingly.

In order to re-establish Diplomat status, the individual must complete the reinstatement process set forth by ABQAURP in the Member Reinstatement Form (which can be found on the ABQAURP website) and must pay all past fees due. Reinstatement is valid only for Diplomates that are within the "grace period" (no more than 120 days past due on their continuing education requirement and/or financial obligations).

IV. Rules for Use of ABQAURP Marks and Designations

The Board has delineated the following rules for the appropriate use by Diplomates of the terms: “ABQAURP Board Certified”, “Diplomate of ABQAURP”, “Board Certified in PHYADV”, “Board Certified in HCQM”, the “Certified in Health Care Quality and Management”, “Certified Advanced Physician Advisor”, “CPHYADV”, “CHCQM®”, CHCQM-CM, CHCQM-MC, CHCQM-PSRM, CHCQM-PHYADV®, CHCQM-TOC, and/or CHCQM-WC, and the ABQAURP Certified Diplomate Badge/Logo(s) (collectively referred to as, the “ABQAURP Marks”). The following is the ABQAURP Certified Diplomate Badge/Logo, which shall be used in accordance with the terms of the Certified Diplomate Logo Program and Credly digital badge program.



License and Authorized Use

Subject to the terms and conditions of these Rules and Regulations and subject to a Diplomate maintaining his or her Diplomate status, ABQAURP hereby grants to that Diplomate a nonexclusive, revocable license to use the ABQAURP Marks earned in connection with his or her board certification(s) in the United States or any other country where ABQAURP offers its services. This license shall commence on the date that an individual becomes a Diplomate and continue until the date that an individual is no longer a Diplomate, unless sooner terminated as permitted herein.

The ABQAURP Marks shall only be used by those individuals who are Diplomates, in accordance with the certification standards established by the Board. The ABQAURP Marks may not be transferred, assigned, or sublicensed to any individual or entity who is not a Diplomate. A Diplomate shall not harm, misuse, or bring into disrepute the ABQAURP Marks and shall not promote, advertise, or sell his or her services under the ABQAURP Marks in any manner that violates any applicable law or regulation. In no event shall ABQAURP be liable for any damages arising out of a Diplomate's use of the ABQAURP Marks in violation of any local, state, governmental, or federal law.

For the limited and exclusive purpose of assessing compliance by a Diplomate of the requirements of use of the ABQAURP Marks, ABQAURP shall be permitted to request, at no cost to ABQAURP, samples of any marketing or advertising materials depicting any of the ABQAURP Marks, at the

Diplomate's expense. The Diplomate acknowledges that each of the ABQAURP Marks is unique and original and that ABQAURP is the owner of all rights in and to each and all of the ABQAURP Marks. Any use of any of the ABQAURP Marks by a Diplomate, and the goodwill generated thereby, shall inure to the sole and exclusive benefit of ABQAURP. Moreover, a Diplomate shall not at any time dispute or contest, directly or indirectly, ABQAURP's exclusive right, title, and interest in and to any of the ABQAURP Marks or the validity thereof.

A Diplomate is encouraged to actively promote his or her certification(s) and is authorized to represent himself or herself as a Diplomate of ABQAURP; this includes the use of the CPHYADV and/or CHCQM®, CHCQM-CM, CHCQM-MC, CHCQM-PSRM, CHCQM-PHYADV®, CHCQM-TOC, CHCQM-WC mark/designations with his or her other credentials. A Diplomate may list the mark/designation next to his or her name and degree(s), introduce himself or herself as a Diplomate of the American Board of Quality Assurance and Utilization Review Physicians, and publish his or her certification accomplishment of becoming "Board Certified in CPHYADV and/or CHCQM®" in personal and staff biographies. Upon request, the ABQAURP office will provide a press release for publication in local newspapers, company websites, and newsletters.

A Diplomate may use the awarded digital credential(s) to be used to promote his or her accomplishments. The digital credential(s) are administered through Credly after upload from ABQAURP. An email will automatically be sent to each recipient to invite you to create a free, secure account and accept your credential(s). The credential/logo and other applicable ABQAURP Marks may be used in biographies, social media profiles, letterhead, business cards, websites, etc.

Unauthorized Use

The Board considers misrepresentation of Diplomate status to be a serious matter. An individual who was formerly a Diplomate is not authorized to use the ABQAURP Marks. Once Diplomate status is terminated, for any reason, all rights and licenses granted under these Rules and Regulations shall terminate and immediately revert to ABQAURP. Immediately upon terminating Diplomate status for any reason, former Diplomate shall cease and discontinue any and all use of all of the ABQAURP Marks, and deliver to ABQAURP, or at ABQAURP's sole option, cause to be destroyed, all materials and content upon which any of the ABQAURP Marks appear.

A Diplomate's unauthorized use or misuse of an ABQAURP Mark infringes on ABQAURP's rights in those designations and is likely to confuse and mislead the public. Additionally, the unauthorized use of ABQAURP's Marks suggests an affiliation, sponsorship, or endorsement, which an Individual no longer holding Diplomate status does not have with ABQAURP. Consequently, the unauthorized use and/or misuse of the ABQAURP Marks may constitute, among other things, trademark infringement and unfair competition under state and federal law. Federal law provides for significant penalties for such conduct, including ABQAURP's recovery of its actual damages, an award of the infringing party's profits, and an award of ABQAURP's attorneys' fees.

For all of the above reasons, ABQAURP will enforce its rights, and it will take appropriate legal action against those who use its ABQAURP Marks improperly or without ABQAURP's authorization.

Miscellaneous

These Rules and Regulations shall be governed by and construed under the laws of the State of Florida without reference to conflicts of law principles. Exclusive venue for any litigation permitted under these Rules and Regulations shall be Pasco County, Florida. Each party irrevocably and unconditionally: (a) agrees that any suit, action or other legal proceeding arising out of or relating to these Rules and Regulations shall be brought in the courts of record of the State of Florida in Pasco County or the courts of the United States District Court for the Middle District of Florida; (b) consents to the jurisdiction of each such court in any such suit, action or proceeding; (c) waives any objection which it may have to the laying of venue of any such suit, action or proceeding in any such courts; and (d) agrees that service

of any court paper may be effected on such party by any manner as may be provided under applicable laws or court rules in said state. If any legal action is initiated by any party to these Rules and Regulations arising out of or relating to the alleged breach, performance or non-performance of any right, obligation, or other provision of these Rules and Regulations, any and all fees, costs and expenses reasonably incurred by each successful party shall be paid or reimbursed by the unsuccessful party.

A Diplomat shall be solely responsible for any injuries or property damage arising or resulting from the actions or failure to act of the Diplomat. In this regard, Diplomat agrees to indemnify and hold harmless ABQAURP, and its directors, officers, employees, other contractors, agents or invitees, of and from any and all damages, losses, costs, claims, or liabilities asserted against it by any third party for: (a) breach of any representation, warranty or covenant made by Diplomat hereunder; (b) any actual infringement or misappropriation by Diplomat of any third-party patent, trademark, copyright, trade secret, or other third-party intellectual property rights in which ABQAURP has a property interest, under the terms of these Rules and Regulations or otherwise; or (c) any personal injury or property damage arising or resulting from the actions or failure to act of Diplomat. The provisions of this Paragraph shall survive the termination of Diplomat status and shall survive termination of these Rules and Regulations.

Each provision of these Rules and Regulations shall be treated as a separate and independent clause. If any court rules that a provision of these Rules and Regulations is void or unenforceable in whole or in part, this ruling shall not affect the validity of the remainder of these Rules and Regulations. If one or more of the provisions of these Rules and Regulations is held to be excessively broad, such provision or provisions will be construed by the appropriate judicial body by limiting or reducing it or them to the minimum extent permitted by law. All representations, warranties, rights, obligations, and covenants contained in these Rules and Regulations, or in any instrument, exhibit, or other writing intended by the parties to be a part of these Rules and Regulations, shall survive the termination of Diplomat' status and shall survive termination of these Rules and Regulations. ABQAURP's failure to enforce any provision of these Rules and Regulations shall not be deemed a waiver of such provision or of ABQAURP's right to enforce each and every provision of these Rules and Regulations. Any such failure shall not operate or be construed as a waiver of any subsequent breach by Diplomat of the same or a different provision.